Cubylcarbinyl Cation: Fact or Fiction?

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Ab initio molecular orbital calculations have been used to study the stability of the cubylcarbinyl cation (21). The secocubylcarbinyl cation (25) is the only minima found which resembles 21. Conversion of 25 to the homocubyl cation requires 174.6 kJ/mol. A barrier of 303.7 kJ/mol is calculated for ring expansion of cubylmethanol (7) to 1-homocubanol (13). Protonation of 7 forms the corresponding oxonium ion (30) which has a C-O bond similar to that found in protonated methanol. The oxonium ion formed by protonation of cubylmethanol (30) undergoes ring expansion to the 1-homocubanoxonium ion (32) with a barrier of only 4.0 kJ/mol. Density functional calculations predict a barrier-free reaction. The presence of the hydroxyl function in 7 directs the reaction toward ring expansion rather than ring opening through formation of the oxonium ion 30. A similar barrier reduction is observed in the methylene-transfer reaction in propanol (33) upon protonation.

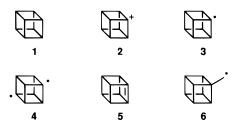
Introduction

The chemistry of cubane (1) has unfolded nicely in the three decades following the first preparation of the cubane nucleus by Eaton and Cole in 1964.¹ In recent times excellent synthetic methodologies for the polyfunctionalization of the cubane nucleus have been developed, thereby providing access to a wide variety of polysubstituted cubane derivatives.² Indeed, much effort has been directed toward generating and understanding the properties of several cubane-derived reactive intermediates, including the cubyl cation (2),³ cubyl radical (3),⁴ the 1,4-diradical (4),⁵ and cubene (5).⁶ Recently, the chemistry of cubylmethyl radical (6) has been investigated in detail using a combination of experimental⁷ and computational⁸ techniques.

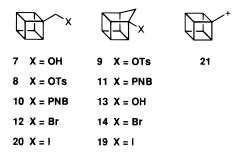
Cole was the first to observe the proclivity of cubylmethanol (7) toward Wagner—Meerwein ring expansion.⁹ While attempting to prepare the tosylate 8 from 7 with

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p-toluenesulfonyl chloride in pyridine, Cole noted that the only product isolated was the tosylate 9. The less solvolytically active p-nitrobenzoate (PNB) ester 10, however, was prepared without difficulty. Solvolysis of 10 in glacial acetic acid at 100 °C furnished the homocubyl p-nitrobenzoate 11 as the major product. Indeed, **10** was found to undergo solvolysis 3×10^6 times faster than *tert*-butyl *p*-nitrobenzoate in aqueous acetone at room temperature. 10 The origin of this enhanced rate of solvolysis of 10, relative to tert-butyl p-nitrobenzoate, was attributed to the release of strain in the transition state leading to ring expansion. 10,11 Attempts to prepare the carbinyl bromide 12 through exposure of 7 to aqueous HBr at room temperature produced a 2:1 mixture of 13 and the homocubyl bromide 14.9 Similar experiments with diphenylmethanols 15¹⁰ and 18¹² under a variety of reaction conditions gave rise to analogous results (Scheme 1). Evidently, the two phenyl substituents have little effect on the course of these reactions.



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Scheme 1

Recently, Della and co-workers took advantage of this characteristic of the cubylcarbinyl system in their preparation of the homocubyl iodide 19 through the reaction of 7 with Ph₃P/I₂ in dichloromethane, ¹³ which presumably proceeds through the agency of the carbinyl iodide 20, whereas Olah et al. 14 failed to detect any fluorinecontaining products from the reaction of 7 with pyridine poly(hydrogen fluoride) with the rearranged alcohol 13 being the only product reported.

It would appear, based on the difficulty in isolating products which do not undergo rearrangement upon solvolysis of derivatives of 7, that any carbonium ion formed in these reactions must be short-lived. In this paper, we disclose the results of detailed, high-level ab initio molecular orbital studies of cubylcarbinyl cation (21) and on the ring expansion of cubylmethanol (7). These studies indicate that 21 is not a discrete cationic intermediate on the C₉H₉⁺ potential energy surface and that isomerization of the cube may be determined by the nature of the cation precursor.

Methods

Standard ab initio molecular orbital calculations¹⁵ were performed using the GAUSSIAN 94^{16} program. Geometries were optimized at the MP2(fc)/6-31G(d) level and improved relative energies obtained at these geometries at the MP2/6-311+G(2df,p) level. Unless otherwise noted, harmonic vibrational frequencies were evaluated at the HF/6-31G(d) level in order to characterize stationary points as minima or saddle points and to estimate zero-point vibrational and temperature corrections (to 298 K). Optimized geometries are supplied as Supporting Information. Calculated energies and thermal

Table 1. Calculated Total Energies (hartrees), **Zero-Point Vibrational Energies, and Temperature Corrections (millihartrees)**

	MP2/ 6-311+G(2df,p)	B-LYP/ TZVP(A2)	$ZPVE^a$	$\Delta H_{298-0}{}^{a}$
7	-423.075 82	-423.946 91	160.94	8.43
13	-423.15541	$-424.015\ 36$	162.55	7.55
24	$-347.063\ 37$	-347.81545	142.79^{c}	7.24
25	-347.13284	$-347.882\ 47$	$146.16^{c,d}$	7.95^d
26	-347.15126	$-345.912\ 12$	144.26	7.78
27	$-347.100\ 45$	-347.85409	145.98	6.58
28	$-347.063\ 00$	-347.82842	144.07^{c}	6.70
29	-422.95552	-423.85264	155.90^{c}	8.85
30	-423.38792	b	172.44	9.02
31	$-423.383\ 27$	b	168.80^{c}	9.54
32	-423.46559	-424.33177	174.22	8.14
33	$-193.933\ 06$		104.16	6.59
34	-193.75861		97.68^{c}	7.46
35	-194.23342		115.68	7.16
36	-194.20946		109.50^{c}	9.09
H_2O	-76.30895	-76.44303	20.52	3.78

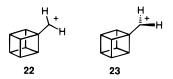
^a Harmonic frequecies scaled by 0.8929 unless otherwise noted. ^b No stationary point located. ^c Structure is a transition state with one imaginary frequency at the HF/6-31G(d) level. ^d Structure is a minima at the MP2/6-31G(d) level. Zero-point vibrational energy and temperature corrections calculated using MP2/6-31G(d) frequencies, scaled by 0.96.

corrections are presented in Table 1. Energies in the text refer to MP2/6-311+G(2df,p) enthalpies at 298 K, and bond lengths are those obtained at the MP2(fc)/6-31G(d) level unless otherwise noted.

Density functional (DFT) calculations were performed using the DGauss program.¹⁷ This program includes implementations of the nonlocal or gradient-corrected correlation functionals due to Becke¹⁸ (B) and to Lee, Yang, and Parr¹⁹ (LYP). Energies reported here (Table 1) were calculated at the TZVP(A2) basis level upon geometries obtained using the DZVP(A1) basis.¹⁷ The default choice of SCF and gradient convergence and integral accuracy were used for geometry optimizations, while high SCF convergence and integral accuracy were applied for calculations of energies for comparison purposes. Zero-point vibrational and temperature corrections (to 298 K) obtained at the HF/6-31G(d) level were applied to these energies.

Results

The cubylcarbinyl cation (21) can posses C_s symmetry with the methylene hydrogens constrained either in the symmetry plane, as depicted by structure 22, or perpendicular to the symmetry plane, i.e., structure 23. Opti-



mization of these structures, with constrained C_s symmetry, leads to the ring-opened structures 24 and 25, respectively. In 24 the separation between C_1-C_6 and C_1 – C_8 is 1.85 Å, whereas the C_1 – C_2 distance in **25** is 2.81 Å. Furthermore, the C_2-C_4 distance in **25** is considerably shorter (1.64 Å) than the analogous 1,3separation in cubane (2.15 Å). Structure 25 is a firstorder transition state at the HF/6-31G(d) level, which accesses the tetracyclic cation **26** (enantiomeric pair)

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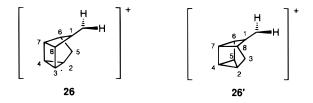
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through migration of either the C_3-C_4 or C_4-C_5 bonds (i.e., interconverts structures **26** and **26**′).



The homocubyl cation **27**, the product of ring expansion, lies 81.0 and 134.8 kJ/mol higher in energy than structures **25** and **26**, respectively. The first-order transition state structure **28** was located 93.6 kJ/mol above **27** and was found to connect **27** with **25** along reaction coordinate which maintains C_s symmetry. At the HF level there must exist a branch point **26** (whose energy has not been determined) between **25** and **28**. The minimum energy path (MEP), however, will lead directly from **28** to **26**; there exists no barrier between **28** and **26**. At the MP2 level, however, **25** has all real frequencies. Thus the MEP from **28** leads to **25**, and there must exist a barrier between **25** and **26**. Conversion of **25** to **27**, through **28**, requires 174.6 kJ/mol. Structure **24**



(lying 90.7 kJ/mol above **27** and 171.7 kJ/mol above **25**) is a first-order transition state at both HF and MP2 levels. Structure **24** appears to interconvert the related structures **25**, **25**′, and **25**″. Thus, there appears to exist three minima in this region of the potential energy surface, the homocubyl cation **27**, the tetracyclic cation **26**, and the secocubylcarbinyl cation **25**. Structure **24**, which resembles **21**, is a transition state species which connect various symmetry related structures **25**.

Protonation of 7 might be expected to form 21 through loss of a water molecule. However, protonation of 7 produces the oxonium ion 30. Conversion of 30 through the transition structure 31 to the homocubyloxonium ion 32 requires just 4.0 kJ/mol. In comparison, direct conversion of 7 to 13 through the transition state 29 requires 303.7 kJ/mol (Scheme 2). Dissociation of the C-O bond in 32 requires 133.1 kJ/mol. A schematic potential energy profile describing the rearrangement of the cubylcarbinyl systems 7 and 30 to the homocubyl analogues 13 and 32, respectively, is presented in Figure 1.

Removal of H_2O from the cubylmethanol oxonium ion (30) will most likely yield the secocubycarbinyl cation 25. The dissociated products (H_2O+25) lie 149.4 kJ/mol lower than 30, but 52.1 kJ/mol higher than the homocubyloxonium ion 32. However, any barrier to dissociation is likely to be significantly larger than the 4.0 kJ/mol required for ring expansion. Stretching the C-O bond in 30 leads to the transition structure 31 for ring expansion, and *not* to a transition structure for dissociation. Therefore, ring expansion is the most probable outcome following protonation of 7.

We have shown that protonation of **7** forms **30**, not the cubylcarbinyl cation **21** in complex with a water molecule. The C–O bond length in **30** (1.578 Å) is only slightly longer than the corresponding distance in the methoxonium ion (1.517 Å) calculated at this level. The calculated proton affinity of **7** (yielding the oxonium ion **30**), 793.7 kJ/mol, is appreciably higher than the proton affinity for methanol calculated at this level, 747.5 kJ/mol (which is slightly lower than the experimental proton affinity²⁰ of methanol of 760 kJ/mol). The structural and energetic features of **30** appear typical for an oxonium ion. The proton affinity of **13**, 788.5 kJ/mol, is remarkably similar to that of **7**. The C–O bond length in **32** is found to be 1.541 Å.

The B-LYP method predicts a barrier to formation of 27 through 28 from 25 of only 133.1 kJ/mol, 41.5 kJ/mol lower than the MP2/6-311+G(2df,p) method. The energy of the barrier to ring expansion in 7 (via 29) is 68 kJ/mol lower, and the energy difference between 7 and 13 is 29 kJ/mol less with B-LYP than with the conventional molecular orbital methods reported above. It has been shown previously²¹ that the B-LYP method does tend to underestimate barrier heights, and reactions with low barriers can be predicted to be barrier-free. The small barrier to ring expansion in 30 (via 31) found above is not found with B-LYP. The loss of $\rm H_2O$ from 32 is 57 kJ/mol less with B-LYP than the conventional molecular orbital results.

Discussion

The computational results from this study clearly show that the cubylcarbinyl cation (21) is not a stable discrete cationic species. Formation of this cation is predicted to result in collapse to the secocubylcarbinyl cation 25.

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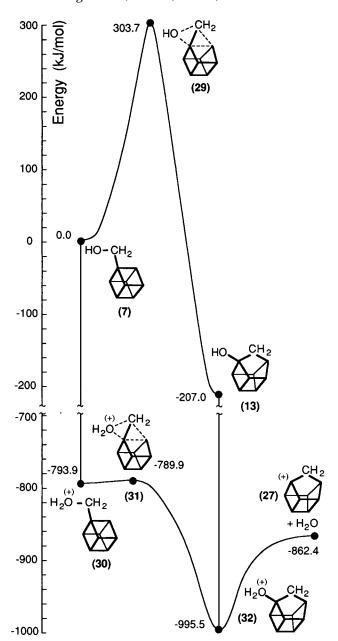


Figure 1. Schematic potential energy diagram for the ring expansion of the cubylcarbinyl system.

Conversion of **25** to the homocubyl cation is calculated to require 175 kJ/mol. Lower in energy than **25** is the tetracyclic cation **26**. There exists, however, no available experimental evidence for the formation of **25** or **26** from solvolysis of any cubylcarbinyl systems. There is a similarly high barrier for the formation of the homocubyl system from **7**.

Protonation of 7 yields a stable oxonium ion 30. Protonation also significantly lowers the energy barrier to ring expansion. Indeed, only one path (ring expansion) has been established through experiment to date (i.e., via 31 on route to 13). The presence of the hydroxyl function thus appears to direct the isomerization process toward ring expansion rather than ring opening through formation of the oxonium ion 30.

Propanol (33) provides the simplest system in which methylene can undergo rearrangement similar to that found for 7. The rearrangement of 33 via the transition

structure **34** along a path in which C_s symmetry is constrained (Scheme 3) produces the tautomer of the reactant **33**′. Similarly, the propyloxonium ion (**35**) can undergo methylene rearrangement through the transition state **36** (Scheme 4).

The transition state **34** lies 443.3 kJ/mol above **33**. In contrast, **36** lies only 51.7 kJ/mol above **35**. For neopentanol and its cationic analogue,²² the barriers are roughly 10–20 kJ/mol smaller. Thus, protonation is responsible for substantially reducing the methylene-transfer barrier. Presumably, release of strain is an additional influence responsible for the decrease in the barrier in the ring expansion of both **7** and **30**.

The 1-propyl cation provides the simplest example of methylene migration in a carbocation. While the 1-propyl cation is a stationary point on the $C_3H_9^+$ potential energy surface, it is not a minimum: it is a transition state. Thus, while strain in the cubylcarbinyl cation (21) presumably causes elongation of C–C bonds of the transition states 24 and 25 (compared to the C–H bonds in the 1-propyl cation), it is not surprising that 21 is also not a minima.

Concluding Remarks

The critical outcomes from this study are as follows. (1) The carbinyl cation **21** is not a classical discrete cationic species. The secocubylcarbinyl cation (**25**) is the only minima found which resembles **21**. Conversion of **25** to the homocubyl cation requires 174.6 kJ/mol. (2) Protonation of cubylmethanol (**7**) significantly lowers the energy barrier to ring expansion. This is not a feature peculiar to the cubane system; the methylene-transfer energy barrier in propanol (**33**) is also significantly reduced upon protonation. (3) The presence of the hydroxyl function in **7** directs the reaction toward ring expansion rather than ring opening through formation of the oxonium ion **30**.

Supporting Information Available: Positional parameters for **7**, **13**, and **24–32** (3 pages). This material is contained in libraries on microfiche, immediately follows this article in the microfilm version of the journal, and can be ordered from the ACS; see any current masthead page for ordering information.

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